



05-14-02

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Zumbach et al.

Application No.: 09/845,220

Group Art Unit: 2163

Filed: April 30, 2001

Examiner: Not yet assigned

For: METHODS FOR ANALYSIS OF  
FINANCIAL MARKETS

Attorney Docket No.: 10366-008

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Assistant Commissioner for Patents  
BOX MISSING PARTS  
Washington, D.C. 20231

Sir:

2-Month Extension. Pursuant to 37 C.F.R. 1.136(a), the Applicants hereby petition for a 2-month extension of time to respond to the Notice to File Missing Parts dated June 25, 2001. This extension will extend the response due date from August 25, 2001, to October 25, 2001.

In response to the Notice To File Missing Parts Of Nonprovisional Application mailed on June 25, 2001, a copy of which is enclosed, applicants submit herewith, pursuant to 37 CFR 1.63 and MPEP § 201.06(c), a Declaration for Non-Provisional Patent Application executed by the inventors.

The fee for the submission of this response is estimated to be \$260 (small entity) (\$65 for late filing fee and \$195 for 2-month extension). Please charge all required fees to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed for accounting purposes.

Respectfully submitted,

Date October 25, 2001

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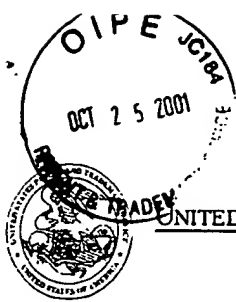
Enclosures

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/845,220	04/30/2001	Gilles O. Zumbach	10366-008

CONFIRMATION NO. 3844

20583  
PENNIE AND EDMONDS  
1155 AVENUE OF THE AMERICAS  
NEW YORK, NY 100362711

## FORMALITIES LETTER



\*OC000000006218787\*

Date Mailed: 06/25/2001

Oath/Decl. + Fee Due 8/25/01 @

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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JUL 11 2001

Pennie &amp; Edmonds